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- b/A ~ 6 + for
4-29-02
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	John C. Pederson
Application No.:	not assigned yet
Filed:	concurrently herewith
For:	LED REFLECTOR
Examiner:	Y. Lee
Group Art Unit:	2875

J1050 U.S. PTO
10/078745
02/18/02

Commissioner for Patent
Washington, D.C. 20231

Docket No.: N47.2-10397

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached Form PTO-1449 and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Information Disclosure Statement

Application No. not assigned yet - filed with application papers

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Applications that are listed on the accompanying modified form 1449 as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 and/or 892 forms from these cases.

☒ **I.** This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed

(check all that apply):

- ☒ (1) within 3 months of the filing date of the application (other than a CPA); or
- ☐ (2) within 3 months of entry of the national stage; or
- ☒ (3) before the mailing of a first Office Action on the merits;
- ☐ (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- ☐ (5) as part of a continued prosecution application (CPA); or
- ☐ (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

☐ **II.** This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- ☐ (1) a certification as specified in §1.97(e) is provided below; or
- ☐ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or

Information Disclosure Statement

Application No. not assigned yet - filed with application papers

Page 3

included with the payment of other papers filed together with this statement.

_____ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below; and
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

X **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

If paragraph II.1 or III is checked, also check one of the paragraphs below

_____ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

_____ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

For the purpose of this certification, Applicant considers the PCT International Search Authority

Information Disclosure Statement

Application No. not assigned yet - filed with application papers

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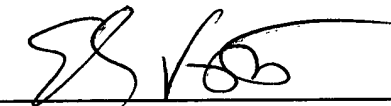
to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS, P.A.

By: _____



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Modified Form 1449 UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.:		APPLICATION NO.	
		APPLICANT:			
		FILING DATE:		GROUP:	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA				
	DB				
	DC				
	DD				
	DE				
	DF				
EXAMINER			DATE CONSIDERED		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

1050 U.S. PTO
10/078745
02/18/02

Modified Form 1449 US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.:N47.2-10397		APPLICATION NO. not assigned yet		
		APPLICANT: John C. Pederson				
		FILING DATE: concurrently herewith		GROUP: 2875		
US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA	09/410,479	9/30/99	allowed waiting issue number	Pederson	yes
	EB					
	EC					
	ED					
	EE					
	EF					
	EG					
EXAMINER			DATE CONSIDERED			
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

2 of 2

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: N47.2-8438		SERIAL NO.: 09/410,479	
				APPLICANT: John C. Pederson			
				FILING DATE: September 30, 1999		GROUP: 2875	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	324,921	03/24/1992	Stanuch et al.			
	AB	3,701,043	10/23/1972	Suleeg et al.			
	AC	3,863,075	01/28/1975	Ironmonger et al.			
	AD	3,889,147	06/10/1975	Groves			
	AE	4,149,111	04/10/1979	Coates, Jr.			
	AF	4,243,985	01/06/1981	Quayle			
	AG	4,301,461	11/17/1981	Asano			
	AH	4,319,306	03/09/1982	Stanuch			
	AI	4,342,944	08/03/1982	SpringThorpe			
	AJ	4,390,931	06/28/1983	Gorick et al.			
	AK	4,598,198	07/01/1986	Fayfield			
	AL	4,630,180	12/16/1986	Muraki et al.			

FOREIGN PATENT DOCUMENTS								
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
					YES	NO		
BA	GB 2 069 657 A	08/19/1981	United Kingdom			X		
BB	GB 2 175 428 A	11/26/1986	United Kingdom			X		
BC	GB 2 240 650 A	08/07/1991	United Kingdom			X		
BD	GB 2 272 791 A	05/25/1994	United Kingdom			X		
BE	Gb 2 292 450 A	02/21/1996	United Kingdom			X		
BF	GB 2 311 401 A	09/24/1997	United Kingdom			X		
BG	EP 0 709 818 A1	05/01/1996	Europe			X		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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Applicant: John C. Pederson				Filing Date: September 30, 1999		Group: 2875	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,630,183	12/16/1986	Fujita			
	AB	4,633,280	12/30/1986	Takasu			
	AC	4,703,219	10/27/1987	Mesquida			
	AD	4,720,835	01/19/1988	Akiba et al.			
	AE	4,799,135	01/17/1989	Inukai et al.			
	AF	4,918,497	04/17/1990	Edmond			
	AG	4,929,866	05/29/1990	Murata et al.			
	AH	4,935,665	06/19/1990	Murata			
	AI	4,954,822	09/04/1990	Borenstein			
	AJ	4,956,644	10/23/1990	Kawabata et al.			
	AK	4,966,862	10/20/1990	Edmond			
	AL	4,990,970	02/05/1991	Fuller			
	AM	5,027,168	06/25/1991	Edmond			
	AN	5,093,768	03/03/1992	Ohe			
	AO	5,097,397	03/17/1992	Stanuch et al.			
	AP	5,101,326	03/31/1992	Roney			
	AQ	5,122,943	06/16/1992	Pugh			
	AR	5,136,287	08/04/1992	Borenstein			
	AS	5,187,547	02/16/1993	Niina et al.			
	AT	5,220,235	06/15/1993	Wakimizu et al.			
	AU	5,233,204	08/03/1993	Fletcher et al.			
	AV	5,235,498	08/10/1993	Van Dulmen et al.			
	AW	5,283,425	02/01/1994	Imamura			
	AX	5,313,187	05/17/1994	Choi et al.			
	AY	5,321,593	06/14/1994	Moates			
	AZ	5,357,123	10/18/1994	Sugawara			
EXAMINER:				DATE CONSIDERED:			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,357,409	10/18/1994	Glatt			
	AB	5,359,255	10/25/1994	Kawai et al.			
	AC	5,403,916	04/04/1995	Watanabe et al.			
	AD	5,419,065	05/30/1995	Lin			
	AE	5,406,095	04/11/1995	Koyama et al.			
	AF	5,420,444	05/30/1995	Sawase et al.			
	AG	5,475,241	12/12/1995	Harrah et al.			
	AH	5,482,896	01/09/1996	Tang			
	AI	5,491,350	02/13/1996	Unno et al.			
	AJ	5,498,883	03/12/1996	Lebby et al.			
	AK	5,514,627	05/07/1996	Lowery et al.			
	AL	5,516,727	05/14/1996	Broom			
	AM	5,519,720	05/21/1996	Hirano et al.			
	AN	5,526,237	06/11/1996	Davenport et al.			
	AO	5,528,474	06/18/1996	Roney et al.			
	AP	5,567,036	10/22/1996	Theobald et al.			
	AQ	5,569,939	10/29/1996	Choi			
	AR	5,585,783	12/17/1996	Hall			
	AS	5,575,459	11/19/1996	Anderson			
	AT	5,593,223	01/14/1997	Koizumi			
	AU	5,612,231	03/18/1997	Holm et al.			
	AV	5,625,201	04/29/1997	Holm et al.			
	AW	5,627,851	05/06/1997	Takahashi			
	AX	5,631,474	05/20/1997	Saitoh			
	AY	5,632,551	05/27/1997	Roney et al.			
	AZ	5,634,711	06/03/1997	Kennedy et al.			
EXAMINER:				DATE CONSIDERED:			
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Applicant: John C. Pederson				Filing Date: September 30, 1999		Group: 2875	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,636,916	06/10/1997	Sokolowski			
	AB	5,656,829	08/12/1997	Sakaguchi et al.			
	AC	5,661,742	08/26/1997	Huang et al.			
	AD	5,694,112	12/02/1997	VannRox et al.			
	AE	5,705,047	01/06/1998	Lee			
	AF	5,707,891	01/13/1998	Izumi et al.			
	AG	5,739,552	04/14/1998	Kimura et al.			
	AH	5,739,592	04/14/1998	Rigsby et al.			
	AI	5,758,947	06/02/1998	Glatt			
	AJ	5,760,531	06/02/1998	Pederson			
	AK	5,789,768	08/04/1998	Lee et al.			
	AL	5,793,062	08/11/1998	Kish, Jr. et al.			
	AM	5,806,965	09/15/1998	Deese			
	AN	5,838,024	11/17/1998	Masuda et al.			
	AO	5,838,247	11/17/1998	Bladowski			
	AP	5,848,837	12/15/1998	Gustafson			
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: N47.2-8438		SERIAL NO.: 09/410,479	
				APPLICANT: John C. Pederson			
				FILING DATE September 30, 1999		GROUP: 2875	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	4,615,131	10/7/86	Wakatake			
	AB	5,045,767	9/3/91	Wakatake			
	AC	5,697,175	12/16/97	Schwartz			
	AD	6,009,650	1/4/00	Lamparter			
	AE	6,018,899	2/1/00	Hanitz			
	AF	5,097,612	3/24/92	Williams			
	AG						
	AH						
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	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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				APPLICANT: John C. Pederson			
				FILING DATE September 30, 1999		GROUP: 2875	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,654,629	3/31/87	Bezos et al.			
	AB	5,929,788	7/27/99	Vukosie			
	AC						
	AD						
	AE						
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	AI						
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	AK						
	AL						

FOREIGN PATENT DOCUMENTS								
EXAM'S INIT.	AA	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	0 468 822 A2	7/26/91	EP			X	
	BB	0 531 184 A1	8/12/92	EP			X	
	BC	0 531 185 A1	8/12/92	EP				X
	BD	0 596 782 A1	10/29/93	EP				X
	BE	0 633 163 A1	7/6/94	EP				X
	BF	0 896 898 A2	7/17/98	EP				X
	BG	2 330 679 A	10/21/98	GB			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAM'S INIT.	CA	
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EXAMINER	DATE CONSIDERED
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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

Atty Docket No.: N47.2-8438

Serial No.: 09/410,479

Applicant: John C. Pederson

Filing Date: September 30, 1999

Group: 2875

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM'S INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	43 04 216 A1	2/12/93	DE				X
	BB	2 680 861	9/2/91	FR				X
	BC	2 707 222	7/7/93	FR				X
	BD							
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EXAMINER

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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
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	AA						
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	AK						
	AL						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	297 12 281	5/23/97	DE				X
	BB	2 658 024	2/8/90	FR				X
	BC							
	BD							
	BE							
	BF							
	BG							

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				APPLICANT: John C. Pederson			
				FILING DATE: September 30, 1999		GROUP: 2875	

REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS		
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,298,806	11/3/81	Herold	250/504	
	AB	4,716,296	12/29/87	Bussiere et al.	250/504	
	AC	5,050,055	9/17/91	Lindsay et al.	362/293	
	AD	5,362,971	11/8/94	McMahon et al.	250/577	
	AE	5,804,822	9/8/98	Brass et al.	250/302	
	AF	5,674,000	10/7/97	Kalley	362,293	
	AG	5,931,562	8/3/99	Arato	362/184	
	AH	5,975,714	11/2/99	Vetorino et al.	362/192	
	AI	6,028,694	2/22/00	Schmidt	359/264	
	AJ	6,095,661	8/1/00	Lebens et al.	362/184	
	AK	6,102,696	8/15/00	Osterwalder et al.	433/29	
	AL	6,159,005	12/12/00	Herold et al.	433/29	

FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
	BA			
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	BC			
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	BE			

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				FILING DATE: September 30, 1999		GROUP: 2875	
REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6,177,678 B1	1/23/01	Brass et al.	250/461.1		
	AB						
	AC						
	AD						
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	AG						
	AH						
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EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							